

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: :
McLendon :
Serial No. Not yet assigned : Group Art Unit: not yet assigned
Filed: February 12, 2004 : Examiner: not yet assigned
For: IAP-BINDING CARGO MOLECULES AND PEPTIDOMIMETICS FOR USE
IN DIAGNOSTIC AND THERAPEUTIC METHODS

PETITION TO ACCEPT COLOR DRAWINGS OR PHOTOGRAPHS
PURSUANT TO 37 C.F.R. § 1.84(a)(2) and (b)(2)

Commissioner for Patents
Box Petition
P.O. Box 1450
Alexandria, VA 22313

Sir:

1. This petition is for the acceptance of color:

☒ drawings. (37 C.F.R. § 1.84(a)(2))
☐ photographs. (37 C.F.R. § 1.84(b)(20))

2. Attached hereto are three (3) sets of color:

☒ drawings
☐ photographs.

3. The specification contains the following language in the paragraph entitled
“A Brief Description of the Drawings”:

“The file of this patent contains at least one drawing executed in
color. Copies of this patent with color drawing(s) will be provided by the
Patent and Trademark Office upon request and payment of necessary fee.”

4. The reason(s) for the need for color drawings or photographs in this
application is/are as follows:

Color drawings are necessary to understanding the material set
forth in the specification of this application.

5. The petition fee required to waive the requirements of § 1.84 (37 C.F.R. § 1.17(i)-\$130.00) is paid as follows:

- ☐ Attached is a ☒ check in the amount of \$130.00
☐ Authorization is hereby made to charge the amount of \$130.00
☒ to Deposit Account No 50-0436

☒ Charge any additional fees required by this paper or credit any overpayment in the manner authorized above.

A duplicate of this paper is attached.

Respectfully submitted,

PEPPER HAMILTON LLP


Raymond A. Miller
Registration No. 42,891

Pepper Hamilton LLP
One Mellon Bank Center
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Date: February 12, 2004

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.10

APPLICANT: MCLENDON

TITLE: IAP-BINDING CARGO MOLECULES AND
PEPTIDOMIMETICS FOR USE IN DIAGNOSTIC AND
THERAPEUTIC METHODS

SERIAL NO.: Not yet assigned

ATTORNEY REF: 112911.161

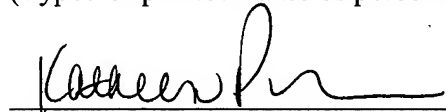
DATE OF DEPOSIT: February 12, 2004

EXPRESS MAIL NO. EV309119955US

I HEREBY CERTIFY THAT THIS PATENT APPLICATION IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE VIA UNITED STATES POST OFFICE EXPRESS MAIL UNDER 37 C.F.R. § 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE COMMISSIONER OF PATENTS, MAIL STOP PATENT APPLICATION, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450.

Kathleen Pujol

(Typed or printed name of person mailing paper or fee)



(Signature of person mailing paper or fee)

Documents Sent:

- | | |
|---|--|
| <input checked="" type="checkbox"/> Application (___ sheets) | <input checked="" type="checkbox"/> Assignment with Cover Sheet in duplicate |
| <input checked="" type="checkbox"/> Formal Drawings (4 sheets) | <input checked="" type="checkbox"/> Other Fee Transmittal and check (\$792.00) |
| <input checked="" type="checkbox"/> Petition to Accept Color Drawings | <input checked="" type="checkbox"/> Applicant Data Sheet |
| <input checked="" type="checkbox"/> Utility Application Transmittal | <input checked="" type="checkbox"/> Certificate of Mailing |
| <input type="checkbox"/> Declaration and Power of Attorney | |

FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 792.00

Complete if Known

Application Number not yet assigned
Filing Date February 12, 2004
First Named Inventor McLendon
Examiner Name not yet assigned
Art Unit not yet assigned
Attorney Docket No. 112911.161

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number
Deposit Account Name

50-0436

Pepper Hamilton LLP

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	770	2001	385	Utility filing fee	385.00
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1) (\$) 385.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims 32 -20** = 12 x 9 = 108
Independent Claims 6 -3** = 3 x 43 = 129
Multiple Dependent ☐ = ☐

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
1202	18	2202	9	Claims in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple dependent claim, if not paid	
1204	86	2204	43	** Reissue independent claims over original patent	
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$) 237.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	130.00
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	40.00
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 170.00

SUBMITTED BY

Name (Print/Type) Raymond A. Miller

Registration No. 42,891
(Attorney/Agent)

(Complete if applicable)

Telephone 412.454.5000

Signature

Date February 12, 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.